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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/706,295

Filing Date November 12, 2003

First Named Inventor Brad Kellerman

Art Unit 3763

Examiner Name n/a

Attorney Docket Number ACSG-64929 (4217P)

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/Incomplete Application
☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Power of Attorney, Revocation Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
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- ☐ After Allowance Communication to TC
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name FULWIDER PATTON LLP

Signature

Printed name

THOMAS H. MAJCHER

Date

OCTOBER 6, 2006

Reg. No.

31,119

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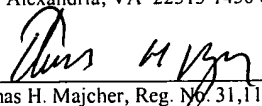
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

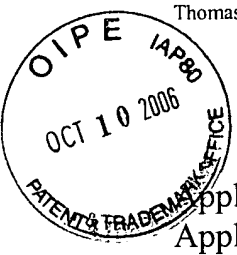
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Thomas H. Majcher, Reg. No. 31,119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Appln. No. : 10/706,295 Confirmation No. 5762
Applicant : Brad Kellerman
Filed : November 12, 2003
Art Unit : 3763
Examiner : Unassigned
Title : COMPONENT FOR DELIVERING AND LOCKING A
MEDICAL DEVICE TO A GUIDE WIRE

Docket No.: : ACSG-64929 (4217P) Los Angeles, California
Customer No. : 24201 October 6, 2006

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

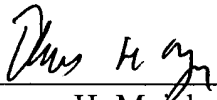
Pursuant to the duty of disclosure and 37 CFR § 1.97(b)(3), enclosed is Form PTO SB/08a, listing references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached Form PTO SB/08a with initials and/or other appropriate marks. Copies of U.S. references are omitted pursuant to 1276 Off. Gaz. Pat. Off. 55, 8/5/2003: "The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b)."

This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(b)(3), prior to the first Office Action on the merits, and therefore no fee is due. However, if a fee is in fact due, the Commissioner is authorized to charge any additional fee(s) to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LLP

By: 
Thomas H. Majcher
Registration No. 31,119

THM:lm

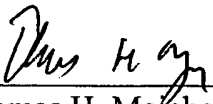
Howard Hughes Center
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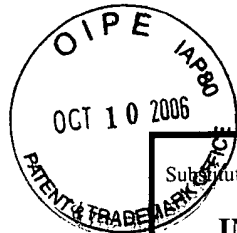
Respectfully submitted,

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/706,295
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Attorney Docket Number	ACSG-64929 (4217P)

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
	1.	RE38,972		02-07-2006	Purdy	
	2.	3,952,747		04-27-1976	Kimmell, Jr.	
	3.	4,452,908		01-17-1984	Simon	
	4.	4,494,531		01-22-1985	Gianturco	
	5.	4,612,931		09-23-1986	Dormia	
	6.	4,619,246		10-28-1986	Molgaard-Nielsen et al.	
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	8.	4,650,466		03-17-1987	Luther	
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	12.	4,723,549		02-09-1988	Wholey et al.	
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	28.	5,071,407		12-10-1991	Termin et al.	
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	42.	5,370,657		12-06-1994	Irie	
	43.	5,375,612		12-27-1994	Cottenceau et al	
	44.	5,383,887		01-24-1995	Nadal	

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	46.	5,490,859		02-13-1996	Mische et al.	
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	48.	5,496,330		03-05-1996	Bates et al.	
	49.	5,501,694		03-26-1996	Ressemann et al.	
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	52.	5,626,605		05-06-1997	Irie et al.	
	53.	5,634,942		06 03 1997	Chevillon et al.	
	54.	5,649,953		07-22-1997	Lefebvre	
	55.	5,658,296		08-19-1997	Bates et al.	
	56.	5,662,671		09-02-1997	Barbut et al.	
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	61.	5,720,764		02-24-1998	Naderlinger	
	62.	5,725,550		03-10-1998	Nadal	
	63.	5,746,767		05-05-1998	Smith	
	64.	5,755,790		05-26-1998	Chevillon et al.	
	65.	5,769,816		06-23-1998	Barbut et al	
	66.	5,772,674		06-30-1998	Nakhjavan	
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	68.	5,779,716		07-14-1998	Cano et al.	
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	70.	5,792,145		08-11-1998	Bates et al.	
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		Number	Kind Code ² (if known)			
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	183.	6,375,670		04-02-2002	Greenhalgh	
	184.	6,383,206		05-7 -2002	Gillick et al.	
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				Application Number		10/706,295		
				Filing Date		November 12, 2003		
				First Named Inventor		Brad Kellerman		
				Group Art Unit		3763		
				Examiner Name		n/a		
Sheet		of		Attorney Docket Number		ACSG-64929 (4217P)		
FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
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		GB	2,020,557		11-21-1979	Willy Rüsck		
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		PCT	WO99/23976		05-20-1999	Salviac Limited		
Examiner Signature						Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/706,295		
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		First Named Inventor	Brad Kellerman		
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		Examiner Name	n/a		
Sheet		of		Attorney Docket Number	ACSG-64929 (4217P)
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
		Dililation of the Carotid Artery By A Temporary Carotid Filter By A. Beck, St. Milic, A.M. Spagnoli, November-December Issue of OPLITAI, An International Journal on Military Medicine and Health Emergencies, pages 67-74			
Examiner Signature				Date Considered	

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